



## UNITED STATES PATENT AND TRADEMARK OFFICE

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UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/916,854	07/27/2001	Bert A. Feliss	SJ09-2000-001438.03

24033  
KONRAD RAYNES & VICTOR, LLP  
315 SOUTH BEVERLY DRIVE  
SUITE 210  
BEVERLY HILLS, CA 90212



CONFIRMATION NO. 9283

## FORMALITIES LETTER



\*OC000000006508432\*

Date Mailed: 09/04/2001

# NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

**Filing Date Granted**

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

*A copy of this notice **MUST** be returned with the reply.*

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Technology Center 2000

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Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



## UNITED STATES PATENT AND TRADEMARK OFFICE

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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO.	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/916,854	07/27/2001	2185	1274	SJ09-2000- 001438.03	8	38	6

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BEVERLY HILLS, CA 90212

CONFIRMATION NO. 9283

## FILING RECEIPT



\*OC000000006508431\*

COPY OF PAPERS  
ORIGINALLY FILED

Date Mailed: 09/04/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

~~NORBERT~~ Bert A. Feliss, ~~Residence Not Provided~~;  
Donald R. Gillis, ~~Residence Not Provided~~;  
Thomas A. Gregory, ~~Residence Not Provided~~;  
Sylvia L. Lee, ~~Residence Not Provided~~;  
Vedantham Raman, ~~Residence Not Provided~~;

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Domestic Priority data as claimed by applicant

Technology Center 2\$00

Foreign Applications

If Required, Foreign Filing License Granted 09/01/2001

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

## Title

Leak detection system of hard disk drives with lubricant reservoir

Preliminary Class

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Data entry by : CHANTRACHUCK, CHOMKEO Team : OIPE

Date: 09/04/2001

[REDACTED]

**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulation especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secret order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

**PLEASE NOTE the following information about the Filing Receipt:**

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 500 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington, DC 20231



PATENT  
SJ0920000014  
0038.0003

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of )  
Norbert A. Feliss, et al. )  
Serial No.: 09/916,854 )  
Filed: July 27, 2000 )  
For: LEAK DETECTION SYSTEM OF HARD DISK )  
DRIVES WITH LUBRICANT RESERVOIR )

Examiner: --

COPY OF PAPERS  
ORIGINALLY FILED

Art Unit: 2185

**TRANSMITTAL OF NOTICE TO FILE MISSING PARTS OF  
NONPROVISIONAL APPLICATION**

**RECEIVED**  
MAR 21 2002  
Technology Center 2400

Box MISSING PARTS  
Asst. Commissioner for Patents  
Washington, D.C. 20231

Dear Sirs:

Enclosed herewith the Declaration for the above-identified application, per the Patent Office Notice to File Missing Parts of Nonprovisional Application dated September 4, 2001 (copy enclosed). Enclosed please find a check in the amount of \$130 for missing parts surcharge. Also enclosed is a Petition for Extension of time, as well as a check for \$110 for fees thereof.

Please charge any additional fees, or credit any overpayments, to Deposit Account No. 50-0585. A duplicate of this petition is enclosed.

**COPY**

Respectfully submitted,

David W. Victor  
Reg. No. 39,867  
KONRAD RAYNES VICTOR & MANN, LLP  
315 S. Beverly Dr. #210  
Beverly Hills, CA 90212  
(310) 556-7983

Dated: November 20, 2001

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed: Assistant Commissioner for Patents, Washington, D.C. 20231, on November 20, 2001.

David W. Victor

11/20/01  
Date